

PRINCIPLE 1 Promotes core values. PRINCIPLE 2 Defines “character” to include thinking, feeling, and doing. PRINCIPLE 3 Uses a comprehensive approach. PRINCIPLE 4 Creates a caring community. PRINCIPLE 5 Provides students with opportunities for moral action. PRINCIPLE 6 Offers a meaningful and challenging academic curriculum. PRINCIPLE 7 Fosters students’ self-motivation. PRINCIPLE 8 Engages staff as a learning community. PRINCIPLE 9 Fosters shared leadership. PRINCIPLE 10 Engages families and community members as partners. PRINCIPLE 11 Assesses the culture and climate of the school.

2010 REVISION

A Framework for School Success



PRINCIPLES OF EFFECTIVE CHARACTER EDUCATION



Leading a National Call to Character

PRINCIPLE 5 Provides students with opportunities for moral action. PRINCIPLE 6 Offers a meaningful and challenging academic curriculum. PRINCIPLE 7 Fosters students’ self-motivation. PRINCIPLE 8 Engages staff as a learning community. PRINCIPLE 9 Fosters shared leadership. PRINCIPLE 10 Engages families and community members as partners. PRINCIPLE 11. Assesses the culture and climate of the school.

OVERVIEW

What is character education?

Character education is the intentional effort to develop in young people core ethical and performance values that are widely affirmed across all cultures. To be effective, character education must include all stakeholders in a school community and must permeate school climate and curriculum.

Character education includes a broad range of concepts such as positive school culture, moral education, just communities, caring school communities, social-emotional learning, positive youth development, civic education, and service learning. All of these approaches promote the intellectual, social, emotional, and ethical development of young people and share a commitment to help young people become responsible, caring, and contributing citizens.

Character education so conceived helps students to develop important human qualities such as justice, diligence, compassion, respect, and courage, and to understand why it is important to live by them. Quality character education creates an integrated culture of character that supports and challenges students and adults to strive for excellence.

Why “do” character education?

“Throughout history, and in cultures all over the world, education rightly conceived has had two great goals: to help students become smart and to help them become good.”

—Thomas Lickona & Matthew Davidson, *Smart & Good High Schools*

Character education is not new. It was included as an important objective for the first U.S. public schools. Today, it is even legislatively mandated or encouraged in most states. The current movement is simply a reminder of education’s long history of stressing core values such as respect, integrity, and hard work to help students become capable people and good citizens.

Character education provides effective solutions to ethical and academic issues that are of growing concern. Educators have successfully used character education to transform their schools, improve school culture, increase achievement for all learners, develop global citizens, restore civility, prevent anti-social and unhealthy behaviors, and improve job satisfaction and retention among teachers.

Because students spend so much time at school, our schools offer a critically important opportunity to ensure that all students get the support and help they need to reach their full potential. Schools with high-quality character education are places where students, teachers, and parents want to be. They are places where young people do their best work because they feel safe, appreciated, supported, and challenged by their peers and the adults around them.

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The Eleven Principles of Effective Character Education, A GUIDE FOR SCHOOLS AND DISTRICTS

No single script for effective character education exists, but there are some important guiding principles. Based on the practices of effective schools, the *Eleven Principles of Effective Character Education* form the cornerstone of CEP’s philosophy on how best to develop and implement high-quality character education initiatives. As broad principles that define excellence in character education, the 11 Principles serve as guideposts that schools and others responsible for youth character development can use to plan and evaluate their programs.

This document explains each of the 11 Principles and includes a scoring guide. It defines each principle more specifically in terms of two to four items that describe what the principle should “look like” when implemented. Key indicators of exemplary practice follow each of these items. Developed in consultation with experienced National School of Character (NSOC) site visitors and evaluators, these key indicators describe how effective schools most commonly implement the principles and offer benchmarks of successful practice.

In addition, for each principle an example from an NSOC shows the principle in practice, and references to CEP’s *Eleven Principles Sourcebook* show where to find additional help and explanation.

ELEVEN PRINCIPLES SCORING GUIDE					
4 = Exemplary 3 = Highly Effective 2 = Good 1 = Lacking Evidence					
	Item 1	Item 2	Item 3	Item 4	Average
Principle 1					
Principle 2					
Principle 3					
Principle 4					
Principle 5					
Principle 6					
Principle 7					
Principle 8					
Principle 9					
Principle 10					
Principle 11					
TOTAL *					

* Sum of the average score for each principle divided by 11.

CEP strongly encourages practitioners to evaluate the extent to which their school or district is implementing each principle. This document and its scoring guide can help educators examine their current character education practices, identify short- and long-term objectives, and develop or strengthen a strategic plan for continuous improvement by scoring each item. After a school determines its baseline data, it can use the Eleven Principles Scoring Guide again later to assess progress.

Arriving at the destination of a 4.0 score on the entire scoring guide is a goal, not an expectation. Rarely would a school or district be exemplary in every indicator at any one time. For example, schools and districts that achieve State and National Schools of Character status are usually between “Exemplary” and “Highly Effective” on the scoring rubric.

A reproducible scoring guide is provided on the back inside cover of this document, and an Excel score sheet that will automatically calculate your scores is available at www.character.org/nsocapplicationprocess.

Call CEP (800-988-8081) with questions on the scoring procedure.

PRINCIPLE 1 The school community promotes core ethical and performance values as the foundation of good character.

Schools that effectively promote good character come to agreement on the core ethical and performance values they most wish to instill in their students. Some schools use other terms such as virtues, traits, pillars, or expectations to refer to the desirable character qualities they wish to foster. Whatever the terminology, the core values promoted by quality character education are ones which affirm human dignity, promote the development and welfare of the individual, serve the common good, define our rights and responsibilities in a democratic society, and meet the classical tests of *universality* (i.e., Would you want all persons to act this way in a similar situation?) and *reversibility* (i.e., Would you want to be treated this way?).

The school makes clear that these basic human values transcend religious and cultural differences and express our common humanity. Examples of core ethical values are caring, honesty, fairness, responsibility, and respect for self and others. Examples of performance values include diligence, best effort, perseverance, critical thinking, and positive attitude. The school community selects and commits to its core values as the foundation for how people interact and do their best work in the school. A school committed to its students' character development treats its core values as essential to its mission and often refers to them in its code of conduct or "touchstone."

1.1 Stakeholders in the school community select or assent to a set of core values.

Key indicators of exemplary implementation:

- A highly inclusive, representative group of stakeholders (professional and other staff, parents, students, and community members) has had input into, or at least assented to, the school's core ethical and performance values. If the district selected the values or if the values have been in place for a long time, current stakeholders have been involved in ongoing reflection on the values in order to ensure their continuing relevance to the present school community.
- Staff members understand how and why the school selected its core values and affirm the importance of core values in guiding the behavior of all those in the school community.

1.2 Core ethical and performance values actively guide every aspect of life in the school.

Key indicators of exemplary implementation:

- Students, staff, and parents use common language reflecting the school's core values (e.g., students, teachers, or parents might use the word "perseverance" when discussing homework or the word "respect" when discussing relationships).
- There is staff ownership for teaching, modeling, and integrating the core values into all aspects of school life (e.g., discussions in grade-level, subject-area, and full staff meetings).
- Core values guide hiring practices and the orientation of new teaching and non-teaching staff.

1.3 The school community articulates its character-related goals and expectations through visible statements of its core ethical and performance values.

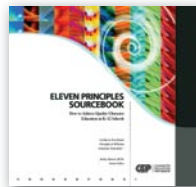
Key indicators of exemplary implementation:

- Core values appear in the school building, in the school mission statement, on the school website, in the student handbook, in the discipline code, in newsletters sent home, and at school events.
- The school has defined what the core values “look like” and “sound like” in terms of observable behaviors.
- Staff, students, and parents can identify the core values and recognize their importance as a distinctive feature of the school.

(For districts):

- The district incorporates core values in its community and public relations efforts.
- The district establishes core values as part of its vision, mission, goals, objectives, regulations, and policies, and seeks to promote a community of adults and students based on a commitment to excellence and ethics.

Sourcebook Connections



From the Guide to Principle 1:
The Language of Character Education, page 5
Examples of Core Values, page 9
Promoting Core Ethical Values, page 27
Character Education Programs, page 42
Facilitation Guide & Overview, page 43

ELEVEN PRINCIPLES IN ACTION

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Core Values Visible Throughout School



Babylon Memorial Grade School
Babylon, New York

Babylon Memorial Grade School has a many-layered approach to building character. Its character education message is visible everywhere: in its mission and belief statements, class constitutions, faculty and PTA meetings, and Home-School Character Connection exercises. Beyond that, Babylon makes the media center the hub for character education and brings the core values to life through morning meetings, character-focused lessons, cross-grade buddy programs, and grade-level service projects.

PRINCIPLE 2 The school defines “character” comprehensively to include thinking, feeling, and doing.

Good character involves understanding, caring about, and acting upon core ethical and performance values. A holistic approach to character development therefore seeks to develop the cognitive, emotional, and behavioral dispositions required to do the right thing and do one’s best work. Students grow to understand core values by studying and discussing them, observing behavioral models, and resolving problems involving the values. Students learn to care about core values by developing empathy skills, forming caring relationships, developing good work habits, taking on meaningful responsibilities, helping to create community, hearing inspirational stories, and reflecting on life experiences. And they learn to act upon core values by striving to do their best and be their best in all areas of school life. As children grow in character, they develop an increasingly refined understanding of the core ethical and performance values, a deeper commitment to living according to those values, and a stronger capacity and tendency to behave in accordance with them.

2.1 The school helps students acquire a developmentally appropriate understanding of what the core values mean in everyday behavior and grasp the reasons why some behaviors (e.g., doing your best and respecting others) represent good character and their opposites do not.

Key indicators of exemplary implementation:

- Staff consistently explain to students how the core values can help them make choices that demonstrate good character.
- Staff can explain how they help students understand the core values (e.g., teachers can point to lessons they have taught).
- Students can explain why the core ethical and performance values are important, how various behaviors exemplify those values, and why some behaviors (e.g., treating others as you wish to be treated, giving your best effort) are right and others are wrong.

2.2 The school helps students reflect upon the core values, appreciate them, desire to demonstrate them, and become committed to them.

Key indicators of exemplary implementation:

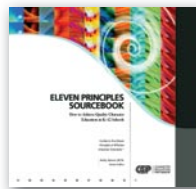
- Staff help students to develop an appreciation for and a commitment to the core values (e.g., by developing empathy and a sense of responsibility for others, by supporting and challenging students to do their best work, and through character exemplars in literature, history, sports, the media, and everyday life).
- Staff provide opportunities for students to reflect on the core values through discussions of real-life problems and situations relevant to ethical and performance character.
- Staff meet students’ needs for safety, belonging, competence, and autonomy, since these form a foundation for developing a commitment to the core values.

2.3 The school helps students practice the core values so that they become habitual patterns of behavior.

Key indicators of exemplary implementation:

- ❑ Staff encourage students to examine their own behavior in light of the core values and challenge them to make their behavior consistent with the core values (e.g., through journal writing, discussion of events in the classroom, one-on-one adult-student conversations about past or present behavior).
- ❑ Students receive practice in and feedback on academic and behavioral skills (e.g., setting goals, monitoring their progress, listening attentively, using “I” messages, apologizing) through the ordinary conduct of the classroom (e.g., the normal flow of teaching and learning, procedures, role plays, class meetings, cooperative learning groups).
- ❑ Students have the opportunity to practice the core values in the context of relationships (e.g., through cross-age tutoring, mediating conflicts, and helping others) and in the context of classroom work (e.g., students demonstrate that they care about the quality of their work and incorporate feedback in order to improve their performance).

Sourcebook Connections



From the Guide to Principle 2:
Fostering Moral Awareness, pages 9–13
Behavioral Side of Character, page 37
Social Skills, page 41
Overview, page 50

ELEVEN PRINCIPLES IN ACTION

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Mixed-Level Class Meetings Focus on Core Values



Francis Howell Middle School
St. Charles, Missouri

Francis Howell Middle School deliberately restructured the school day to make time for Character Connection classes that meet for 20 minutes daily and follow the protocol of a class meeting. During these advisory classes, student groups comprising a mix of grade levels help one another to understand, internalize, and practice the core values. Activities include writing character goals, peer tutoring, discussions of school issues and current events, and helping or expressing appreciation for particular groups within the school. Students have cleaned buses, brought cakes for cafeteria workers, made name tags for support staff, and served custodians hot chocolate while cleaning the halls for them.

PRINCIPLE 3 The school uses a comprehensive, intentional, and proactive approach to character development.

Schools committed to character development look at themselves through a character lens to assess how virtually everything that goes on in school affects the character of students. A comprehensive approach uses all aspects of schooling as opportunities for character development. This includes the formal academic curriculum and extracurricular activities, as well as what is sometimes called the hidden or informal curriculum (e.g., how school procedures reflect core values, how adults model good character, how the instructional process respects students, how student diversity is addressed, and how the discipline policy encourages student reflection and growth).

“Stand-alone” character education programs can be useful first steps or helpful elements of a comprehensive effort but are not an adequate substitute for a holistic approach that integrates character development into every aspect of school life. With an intentional and proactive approach, school staff do more than react to “teachable moments” to integrate character lessons. They take deliberate steps to create opportunities for character development.

3.1 The school is intentional and proactive in addressing character at all grade levels.

Key indicators of exemplary implementation:

- Individual teachers, grade-level teams, and the staff as a whole participate in planning for character education.
- The school has created and can document a plan for character education, or the school follows the district’s plan.
- (For districts): The district defines character education clearly and comprehensively, emphasizing that it is a process that demands integration into all aspects of school life.

3.2 Character education is integrated into academic content and instruction.

Key indicators of exemplary implementation:

- Teachers teach core ethical and performance values through their academic subjects. The school is able to point to examples of lessons from teachers in diverse subject areas that explicitly include the integration of character into academic content and the consideration of academic integrity issues (e.g., use of sources, moral implications of academic dishonesty).
- Teachers provide opportunities for students to develop their moral reasoning through discussions of ethical issues in their content areas (e.g., how lessons of history guide moral choices, how scientific discoveries have ethical implications).
- (For districts): The district includes character education in its academic curriculum frameworks and seeks to apply the vocabulary of character to develop higher level student thinking (e.g., evaluation of lessons in history, literature, or school life where character traits provide a guide to behavior.)

3.3 Character education is a priority in how teachers conduct their classes.

Key indicators of exemplary implementation:

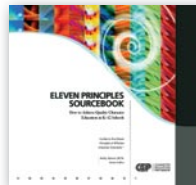
- Classroom routines and procedures address students' need for belonging, autonomy, and competence.
- Classroom routines and procedures are respectful of students and engage them in ways that develop core values such as responsibility, fairness, caring, diligence, and perseverance. Teachers explain to students how the core values underlie classroom routines and procedures.
- Teachers and students can point to and explain the policies and procedures that support academic integrity (e.g., lessons on proper citation of sources and plagiarism, testing strategies to resist cheating, honor pledges or honor codes, and honor committees or courts).

3.4 Character education is infused throughout the school day in classes, sports, meetings, and co-curricular activities.

Key indicators of exemplary implementation:

- The school communicates clear and consistent expectations of good character throughout the total school program (e.g., sports, clubs) and in all areas of the school (e.g., cafeteria, halls, playground, sports fields, library, buses).
- Both students and staff are able to point to artifacts reflecting the core values that guide class, team, or club goals and procedures (e.g., sportsmanship codes, publication codes of ethics, club bylaws).

Sourcebook Connections



From the Guide to Principle 3:
Implementation Plan, page 6
Curricular Integration, page 20
Extracurricular Programs, page 24
Overview, page 34
Hidden Curriculum, page 36

ELEVEN PRINCIPLES IN ACTION

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Core Values as the Foundation for School Culture



**Peters Township School District
McMurray, Pennsylvania**

With a goal of making good character a way of life for the entire community, school and community leaders have worked in partnership in Peters Township to promote core values. The result is a truly comprehensive character initiative where the core values are embedded throughout the culture and curriculum of all the district's schools. Peters Township High School, for example, treats the district's core values as foundational for the school's curricular and extracurricular life. The core values are woven into the literacy curriculum, where students use their reading selections to reflect on the values and on issues of character, such as discrimination, patriotism, and moral courage. In social studies classes, students are expected to act upon the core values as they select and research national or international causes and then work with community members to carry out related service projects.

PRINCIPLE**4****The school creates a caring community.**

A school committed to character strives to become a microcosm of a civil, caring, and just society. It does this by creating a community that helps all its members form respectful relationships that lead to caring attachments to and responsibility for one another. This involves developing caring relationships between students and staff, among students (within and across grade levels), among staff, and between staff and families. These caring relationships foster both the desire to learn and the desire to be a good person. All children and adolescents have needs for safety, belonging, and the experience of contributing, and they are more likely to internalize the values and expectations of groups that meet these needs. Likewise, if staff members and parents experience mutual respect, fairness, and cooperation in their relationships with each other, they are more likely to develop the capacity to promote those values in students. In a caring school community, the daily life of classrooms and all other parts of the school environment (e.g., hallways, cafeteria, playground, sports fields, buses, front office, and teachers' lounge) are imbued with a climate of concern and respect for others.

4.1 The school makes it a high priority to foster caring attachments between students and staff.**Key indicators of exemplary implementation:**

- Students perceive staff as caring and report that they could go to an adult in the school with a problem.
- Staff frequently attend school events; students and parents report that they do.
- The school encourages and makes provisions and time for students and teachers to meet in small group settings such as class meetings or advisor-advisee periods.
- Staff provide extra help in academic work and counsel or mentor students when needed.

4.2 The school makes it a high priority to help students form caring attachments to each other.**Key indicators of exemplary implementation:**

- Students perceive the student body as friendly and inclusive.
- The school uses educational strategies (e.g., cooperative learning, cross-age mentoring, class meetings) to encourage mutual respect and a feeling of responsibility for one another.

4.3 The school takes steps to prevent peer cruelty and violence and deals with it effectively when it occurs.**Key indicators of exemplary implementation:**

- Students report that bullying (including cyber-bullying), teasing, and acts of cruelty or intolerance are infrequent and are not tolerated by staff.
- All students participate in activities, programs, and processes that promote tolerance, understanding, respect, and peace among students (e.g., conflict resolution, anti-bullying programs, peer mediation, class meetings).

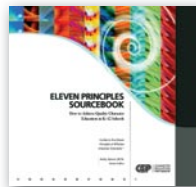
- ❑ Staff demonstrate ways to identify, constructively address, and discourage peer abuse (e.g., bullying; put-downs; racial slurs; insensitive gender remarks; remarks on appearance, economic, or social status) and increase students' understanding and respect for personal, economic, and cultural differences.

4.4 The school makes it a high priority to foster caring attachments among adults within the school community.

Key indicators of exemplary implementation:

- ❑ Parents, community members, and guests report feeling welcome in the school.
- ❑ Staff perceive the work environment as positive and their colleagues as supportive and caring. Artifacts demonstrate ways their relationships are nurtured (e.g., invitations for social gatherings or agendas from helping those in need, working collaboratively, celebrating successes and accomplishments).
- ❑ Staff make efforts to form positive relationships with students' parents and guardians. Parents and teachers both report feeling respected by one another.
- ❑ Staff report that the administration fosters a collegial atmosphere.
- ❑ (For districts): Staff at the district level make efforts to develop caring and respectful relationships among themselves, with staff at the school level, and in the broader community.

Sourcebook Connections



From the Guide to Principle 4:
 Caring Between Students/Staff, page 6
 Caring Among Students, page 19
 Caring Among Adults, page 32
 Overview, page 44

ELEVEN PRINCIPLES IN ACTION

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Creating a Caring Community



**Rosa International Middle School
 Cherry Hill, New Jersey**

With a framework of an International Baccalaureate curriculum that emphasizes global responsibility and tolerance and an advisory program that offers all students leadership and service opportunities, Rosa International Middle School “teaches kids to care,” and students “put that caring into action” through a multitude of service learning projects. The school aims to become a spirited and caring family to all students. Students begin each day in Advisory where they get to know each other as they talk through issues and plan service projects. In order to create a family feeling in a school with over 600 students, grade levels are broken into “Learning Communities,” or teams of teachers that teach the same students and work together to meet their unique needs. School culture stresses caring and acceptance, both at school and for those around the world.

PRINCIPLE**5****The school provides students with opportunities for moral action.**

In the ethical as in the intellectual domain, students are constructive learners—they learn best by doing. To develop the cognitive, emotional, and behavioral aspects of their character, students need many and varied opportunities to grapple with real-life challenges (e.g., how to plan and carry out an important responsibility, work as part of a team, negotiate for peaceable solutions, recognize and resolve ethical dilemmas, and identify and meet school and community needs). Through repeated experiences and reflection, students develop appreciation for and commitment to acting on their ethical and performance values. When providing service to others, the school follows guidelines for effective service learning to include student voice and choice, integration of service into the curriculum, and reflection. In addition to service learning, moral action can include conflict resolution, bully resistance, academic integrity, and sportsmanship.

5.1 The school sets clear expectations for students to engage in actions that develop and demonstrate good character.**Key indicators of exemplary implementation:**

- The school can point to artifacts that demonstrate how the school's expectations for peaceful conflict resolution, academic integrity, good sportsmanship, and service to others are taught; students can describe how these things have been taught by their teachers and what they have learned.
- The school establishes and communicates clear expectations regarding service learning. The school can point to schoolwide expectations of personal and social responsibility. Relevant stakeholders (students, teachers, and parents) know the school's expectations regarding action that develops and demonstrates good character. Students and staff readily acknowledge their responsibility for these expectations.
- (For districts): The district encourages and sets clear guidelines and expectations for service to others, academic integrity, and good sportsmanship and makes explicit that service learning includes student voice and choice, integration into the curriculum, and a reflection component.

5.2 The school provides all students with varied opportunities for engaging in positive, responsible action within the school, and students engage in these opportunities and reflect on them.**Key indicators of exemplary implementation:**

- The school effectively provides all students with opportunities for service within the school (e.g., peer or cross-age tutoring, classroom or student body governance, and service projects related to the care of the school or school grounds), and students take advantage of these opportunities and benefit from them.
- Teachers connect service within the school with the curriculum and core ethical and performance values (service learning).
- Teachers provide instruction and students engage in projects to practice and/or advance conflict resolution, ethical decision-making, and academic integrity.

5.3 The school provides all students with repeated and varied opportunities for making contributions to the larger community, and students engage in these opportunities and reflect on them.

Key indicators of exemplary implementation:

- The school effectively provides all students with opportunities for participation in service learning (e.g., working with the elderly; helping the homeless, those in need, or animals; or caring for the environment) and students take advantage of these opportunities and benefit from them.
- Teachers connect community service with the curriculum and core values, creating service learning experiences.
- The school sets aside time for students to assess community needs, create ideas for meeting those needs, plan and coordinate service learning projects, and reflect on the positive consequences of community service.

Sourcebook Connections



- From the Guide to Principle 5:**
- Defining Moral Action, page 5
 - Turning Action into Learning, page 18
 - School & District Support, page 35
 - Service Organizations, page 45
 - Student Roles, page 49
 - Service Learning Scenarios, page 51

ELEVEN PRINCIPLES IN ACTION

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Tying Service to Learning



**Alta S. Leary Elementary School
Warminster, Pennsylvania**

Staff members at Alta S. Leary Elementary created grade-level curriculum guides that show how essential questions can be tied to ethical issues and specific service learning projects. Students at every level now engage in service as an integral outgrowth of topics they learn about in class. In a fifth-grade unit entitled “Let Freedom Ring,” the essential question *Why do we have the Constitution and Bill of Rights?* leads to assignments researching the background of specific amendments and concluding with an advocacy letter written to members of Congress. In a second-grade class, students respond to a reading assignment about Haiti by raising enough funds to donate 400 pounds of beans and rice to the people in need there. Leary students learn that service is a vital part of good character and meaningful academics.

PRINCIPLE 6 The school offers a meaningful and challenging academic curriculum that respects all learners, develops their character, and helps them to succeed.

Because students come to school with diverse skills, interests, backgrounds, and learning needs, an academic program that helps all students succeed will be one in which the content and pedagogy engage all learners and meet their individual needs. This means providing a curriculum that is inherently interesting and meaningful to students and teaching in a manner that respects and cares for students as individuals. Effective character educators model persistence, responsibility, and caring as they differentiate instruction, employ a variety of active teaching and learning strategies, and look for ways that character is potentially developed *in and through* everyday teaching and learning. When teachers bring to the fore the character dimension of their classes, they enhance the relevance of subject matter and content area skills to students' natural interests and questions, and in the process, increase student engagement and achievement. When teachers highlight models of excellence and ethics and promote social-emotional skills, such as self-awareness and self-management, and ethical decision-making, students are able to access the curriculum with greater focus. When teachers promote moral and performance values such as academic integrity, intellectual curiosity, critical thinking, and diligence, students are better able to do their best work and gain greater autonomy, competence, and self-confidence.

6.1 The academic curriculum provides meaningful and appropriate challenges to all students.

Key indicators of exemplary practice:

- Teachers provide all students with opportunities to interact with academic content in engaging, hands-on, appropriate ways (e.g., cooperative learning, problem solving, and experience-based projects). Students report feeling challenged by and excited about what they are learning in school. Parents report that their children are appropriately challenged and that teachers have high expectations.
- The school community encourages students to seek mastery of content and skills.
- Instruction increases students' sense of competence and emphasizes student autonomy (e.g., by providing them with opportunities to think creatively and test their ideas, by giving them a voice in classroom decisions and plans that affect them).

6.2 The school staff identifies, understands, and accommodates the diverse interests, cultures, and learning needs of all students.

Key indicators of exemplary practice:

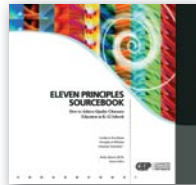
- Staff members engage in ongoing identification of students' learning needs. Differentiated instruction appears organic—a natural part of the school day for all students (e.g., students move easily into reading or math groups that provide activities that have been differentiated by content, process, or product yet are designed to meet the same objectives).
- Staff members challenge and help all students do high-quality work and strive for continuous improvement.
- Parents and students report that teachers know their students well and understand and respond to their learning needs and cultural differences.
- Schools recognize existing achievement gaps between diverse student subgroups and take active steps to eliminate such gaps.

6.3 Teachers promote the development of performance character traits that support students' intellectual growth, academic performance, and capacity for both self-direction and teamwork.

Key indicators of exemplary practice:

- Teachers promote thinking habits (e.g., curiosity, truth-seeking, critical thinking, and open-mindedness) that lead to intellectual growth in students. Students set goals and are aware of their growth as learners.
- Teachers promote work-related habits (e.g., perseverance, responsible decision-making, self-management, and challenge-seeking) that help students do their best work.
- Teachers promote social habits (e.g., honesty, responsibility, collaboration) that help students work together harmoniously (e.g., through cooperative learning).
- Teachers promote, and students report, the importance of academic integrity in the completion of work.

Sourcebook Connections



From the Guide to Principle 6:
Respecting How Students Learn, page 5
Intellectual Character, page 18
Character in the Curriculum, page 32
Overview, page 43
Exercise on Engaging Students, page 45

ELEVEN PRINCIPLES IN ACTION

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Emphasis on the Way Students Learn



**Waterloo Middle School
Waterloo, New York**

Academic rigor, a character-related curriculum, and a renewed emphasis on performance values helped to transform Waterloo Middle School from a school at the bottom of its district to a high-performing school that is a model to others. A daily student advisory program, in which students set academic goals and monitor their progress, is central strategy in this process. Waterloo's approach to character places a strong emphasis on the way students learn. Staff development in learning styles, differentiated instruction, understanding the needs of children living in poverty, cooperative learning, and motivating unmotivated learners has provided teachers with valuable tools to improve instruction.

PRINCIPLE**7****The school fosters students' self-motivation.**

Character means doing the right thing and doing our best work “even when no one is looking.” The best underlying ethical reason for following rules, for example, is respect for the rights and needs of others—not fear of punishment nor desire for reward. We want students to be kind to others because of an inner belief that kindness is good and an inner desire to be a kind person. We want them to do a good job—work that applies and further develops their best abilities—because they take pride in quality work, not just because they want a good grade. Becoming more self-motivated is a developmental process that schools of character are careful not to undermine by an emphasis on extrinsic incentives. Intensive focus on rewards and behavior modification is consciously limited.

Schools of character work with students to develop their understanding of rules, their awareness of how their behavior affects others, and the character strengths—such as self-control, perspective taking, and conflict resolution skills—needed to act responsibly in the future. Rather than settle for mere compliance, these schools seek to help students benefit from their mistakes by providing meaningful opportunities for reflection, problem solving, and restitution.

Consequences are *relevant* (logically related to the rule or offense), *respectful* (not embarrassing or demeaning), *reasonable* (not harsh or excessive), *restorative* (restoring or repair the relationship by making restitution), and *resource-building* (helping students develop the character qualities—such as empathy, social skills, and the motivation to do the right thing—that were not put into practice when the behavior problem occurred). Staff routinely deal with behavior issues in positive ways that encourage reflection according to the core values, offer students opportunities for reparation and moral growth, and respect students as individuals.

7.1**Staff and students recognize and celebrate the natural, beneficial consequences of acts of character rather than rewarding students with material recognition or rewards.****Key indicators of exemplary implementation:**

- Students are able to articulate on a personal level what it means to be self-motivated and why it is important (e.g., students can cite personal goals, achievements, and challenges).
- Staff can explain how they have specifically addressed the question of intrinsic versus extrinsic motivation. They reflect on whether their practices develop self-motivation and seek agreement on a shared philosophy and associated practices (e.g., how all members of the school community will articulate and act upon the concepts of praise, rewards, and punishment).
- Recognition is inclusive of members of the school community (e.g., recognizing the character strengths and unique qualities of each student in a classroom rather than having students earn the title “student of the month”). The school may recognize students or classrooms for outstanding behavior or service but refrains from excessive singling out of students or competition among classrooms.
- Staff use methods of classroom management that foster intrinsic motivation (doing the right thing because it’s the right thing to do) and avoid adopting programs that are rewards-based. Schools and districts that integrate PBIS (Positive Behavioral Intervention and Support) with character education limit their use of behavior modification techniques and help students develop the desire to do what is right even when there is no external reward.
- Teachers use methods of academic instruction—including the opportunity for revision based on feedback—that enable students to produce quality products, work of which they are proud and which is worthy of pride.

- ❑ Staff and students recognize and express positive comments to each other in natural settings (e.g., hallways, classrooms, playing fields, meetings) as part of the everyday life of the school.
- ❑ (For districts): Districts use their public relations programs to focus attention on outstanding acts of good character.

7.2 The school's approach to student conduct uses all aspects of behavior management—including rule-setting and rule-enforcement—as opportunities to foster students' character development, especially their understanding of and commitment to core values.

Key indicators of exemplary implementation:

- ❑ The school provides staff training in developmentally appropriate forms of classroom management, which includes a focus on developing clear character-based expectations for behavior throughout the school and is supported by the publication, instruction, and practice of procedures to support school expectations.
- ❑ The school's discipline code uses explanation, discussion, and natural and logical consequences in ways that help students learn from their mistakes, repair relationships, and implement a plan for behavior improvement.
- ❑ Students have a developmentally appropriate role in classroom management and school governance (e.g., participating in the creation of behavioral norms and rules, class meetings, conflict resolution programs, and student governance bodies such as student court).
- ❑ Teachers discuss academic integrity with students in terms of fairness and personal honor, establishing clear guidelines about what constitutes doing one's own work and acceptable collaboration compared to what constitutes plagiarism and cheating.

Sourcebook Connections



From the Guide to Principle 7:
 Discipline that Builds Character, page 5
 Key Resources for Discipline, page 19
 Recognition of Good Character, page 20
 Overview, page 28

ELEVEN PRINCIPLES IN ACTION

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Students Initiate Programs to Combat Bullying



Hinsdale Central High School
 Hinsdale, Illinois

Students at Hinsdale Central High School have become so intrinsically motivated in character building that they have initiated numerous programs themselves. In one program, Break Down the Walls, students combat bullying and harassment through original skits that address discrimination issues before their peers and at other schools. All students are encouraged to become more self-motivated through reflection on their character development journey as part of a Character Continuum activity. Disciplinary action also has a reflective component, which has helped to reduce the number of discipline referrals.

PRINCIPLE 8 The school staff is an ethical learning community that shares responsibility for character education and adheres to the same core values that guide the students.

All school staff—teachers, administrators, counselors, paraprofessionals, resource teachers, school psychologists and social workers, nurses, coaches, secretaries, cafeteria workers, playground and classroom aides, bus drivers—need to be involved in learning about, discussing, and taking ownership of the school’s character education effort. First and foremost, staff members assume this responsibility by modeling the core values in their own behavior and taking advantage of opportunities to positively influence the students with whom they interact. Second, the same values and norms that govern the life of students serve to govern the collective life of adult members in the school community. Like students, adults grow in character by working collaboratively, sharing best practices, and participating in decision-making that improves all areas of the school. They also benefit from meaningful staff development and opportunities to observe colleagues and then apply character development strategies in their own work with students. Third, a school devotes time to staff reflection on issues that affect their collective pursuit of excellence and ethics. Through faculty meetings and smaller support groups, a reflective staff regularly asks questions such as: What character-building experiences is the school already providing for its students? How effective and comprehensive are these? What negative moral behaviors is the school currently failing to address? What school practices are at odds with its professed core values and desire to develop a school of character? Reflection of this nature is an indispensable condition for developing an all-encompassing culture of character.

8.1 Staff model the core values in their interactions with students and each other, and students and parents perceive that they do.

Key indicators of exemplary implementation:

- Staff are courteous to students and each other and demonstrate respectful and supportive behavior toward students.
- When asked how they can best help students understand and act on the core values, staff members will specifically cite their role as a model for student work and behavior.
- Students and parents report that staff are courteous and model the core values.

8.2 The school includes all staff in planning, receiving staff development for, and carrying out the schoolwide character education initiative.

Key indicators of exemplary implementation:

- All professional and support staff receive training and information on their role in the character education initiative and understand the part they play in its success. Administrators, teachers, and counselors receive ongoing staff development. There are artifacts or summaries of these professional development activities and faculty note how these activities affected teaching or classroom practices.
- The school has created a sustained “ethical learning community” through professional development and opportunities for sharing and input. Staff value the sharing of ideas and being a learning and moral community.
- All staff have opportunities to be involved in character education planning and implementation. Teachers, administrators, and counselors are substantially involved.

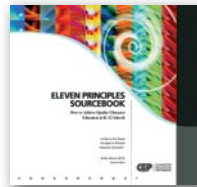
- (For districts): The district trains teachers, principals, and other school personnel in character education and social-emotional learning on a recurring basis; trains new teachers; and provides funding for staff planning, training, and attending conferences on character education.

8.3 The school makes time available for staff planning and reflection in regard to character education.

Key indicators of exemplary implementation:

- Aspects of the character education initiative appear regularly on the agendas of faculty meetings and in-service days.
- The administration provides staff release time for development of promising ideas, planning of events, and reflection.
- Teachers use core values to reflect on their own behaviors and procedures.
- (For districts): The district encourages sharing information by providing venues for collaboration among schools; establishing a centralized source of materials, curricula, and other tools; and sponsoring regular conferences or meetings on character education.

Sourcebook Connections



From the Guide to Principle 8:
 Engaging the Staff, page 5
 Coach's Checklist, page 15
 Developing In-House Expertise, page 23
 Teachers as Role Models, page 30
 Focus Group Guidelines, page 31

ELEVEN PRINCIPLES IN ACTION

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Staff Collaboration and Training Sustain a Program



Col. John Robinson School
 Westford, Massachusetts

At Col. John Robinson School, staff members and parents meet monthly to monitor their homegrown character program, known as Peace-It-Together, and move it forward. Working in the school's unique open-space environment, teachers must work well together and they do, modeling cooperation and respect for their students on a daily basis. Over time, this collaborative spirit has enabled the staff to work together on many creative ventures, include planning for Peace-It-Together and Care to Read, a program they created that ties core values to the curriculum. Staff members report that they enjoy coming to work each day because of the many positive relationships they experience. An initiative that started with a few staff members is sustained year after year through staff outreach and training.

PRINCIPLE 9 The school fosters shared leadership and long-range support of the character education initiative.

Schools that are engaged in effective character education have leaders who visibly champion the effort and share leadership with all stakeholders. Many schools and districts establish a character education committee—often composed of staff, students, parents, and community members—that takes responsibility for planning, implementation, and support. Over time, the regular governing bodies of the school or district may take on the functions of this committee—or, as character education goals become well-known and fully shared, formal organizational structures may no longer be necessary. The leadership also takes steps to provide for the long-range support (e.g., adequate staff development, time to plan) of the character education initiative, including, ideally, support at the district and state levels. In addition, within the school, students assume developmentally appropriate roles in leading the character education effort through, for example, class meetings, student government, peer mediation, cross-age tutoring, service clubs, task forces, and student-led initiatives.

9.1 The school's character education initiative has leaders, including the school principal, who champion character education efforts, share leadership, and provide long-range support.

Key indicators of exemplary implementation:

- Stakeholders (i.e., faculty, parents, students, community members) report that the principal is a visible and supportive champion of the effort, who values and trusts their input, but that if the principal left the school, character education efforts would continue at full strength as a result of shared leadership and school culture.
- Actions and statements of the principal and other key leaders (e.g., articulation of goals and principles; modeling and personal example; and decisions regarding policies, personnel, staff development, and allocation of time and other resources) are clearly supportive of character education and the long-range survival and growth of the initiative.

(For districts):

- Character education is a shared priority of the district board and district superintendent.
- The district hires school principals and central office personnel committed to character education and encourages them to incorporate character education into their work and evaluation of staff.
- The district requires central office personnel (e.g., superintendents, athletic directors, directors of guidance and other student and administrative services) to incorporate character education into their work.

9.2 A leadership group or structure (several linked groups) inclusive of staff, students, and parents guides the ongoing planning and implementation of the character education initiative and encourages the involvement of the whole school in character-related activities.

Key indicators of exemplary implementation:

- An inclusive leadership group or structure (e.g., character education committee or task force, standing school committee(s), committee of the whole) guides character education strategic planning and implementation. Artifacts such as minutes, strategic plans, and/or project portfolios describe this group's activities. Stakeholders can identify the groups or structures that guide character education planning and ways they can have input into decisions that affect them. They report shared ownership of the decision-making process.

- ❑ The school’s regular governing mechanisms assume responsibility for management of character-related policies and plans.
- ❑ (For districts): An on-going district-community character education committee guides the planning and implementation of districtwide character education efforts. The committee includes representatives of local government agencies, business partners, religious organizations, youth organizations, parents, students, and staff representatives from the schools.

9.3 Students are explicitly involved in creating and maintaining a sense of community and in other leadership roles that contribute to the character education effort.

Key indicators of exemplary implementation:

- ❑ Students create and maintain standards of behavior (e.g., classroom rules, honor code) and have responsible roles within the classroom and school community (e.g., character ambassadors, safety patrols, student government, honor councils, peer mediators, school newspaper editors) and opportunities for leadership at various levels (e.g., learning groups, classrooms, the school, extracurricular activities).
- ❑ Students value the leadership roles available to them and identify themselves as members of wider communities (e.g., state, nation, world) in which they can play positive and contributory roles.
- ❑ Multiple artifacts recognize the recruitment, training, and activities involved in student-led projects.

Sourcebook Connections



From the Guide to Principle 9:
 Understanding Effective Leadership, page 5
 Fostering Shared Leadership, page 25
 Developing Student Leadership, page 33
 Roles & Responsibilities of Leaders, page 48

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Districtwide Commitment to Character Education



**Lindbergh School District
 St. Louis County, Missouri**

The Lindbergh School District, named a District of Character in 2008, took its first official steps to introduce character education in all its buildings in 1989. Since then the district has provided the philosophical framework, financial support, release time, and professional training to sustain its initial commitment. The district promotes Professional Learning Communities in each of its schools, so that teachers, functioning in a collegial relationship, can share ideas on academic, character, and leadership objectives. Three of Lindbergh’s elementary schools have become National Schools of Character: Kennerly (2000), Sappington (2008), and Long (2009).

PRINCIPLE 10 The school engages families and community members as partners in the character-building effort.

Schools that reach out to families and include them in character-building efforts greatly enhance their chances for success with students. They communicate with families—via newsletters, e-mails, family nights, the school website, and parent conferences—about goals and activities regarding character education. To build greater trust between home and school, parents are represented on the character education committee or through whatever decision-making structures exist. These schools also make a special effort to reach out to subgroups of parents who may not feel part of the school community. Finally, schools and families enhance the effectiveness of their partnership by recruiting the help of the wider community (i.e., businesses, youth organizations, religious institutions, the government, and the media) in promoting character development.

10.1 The school engages families in the character education initiative.

Key indicators of exemplary implementation:

- Parents serve in character education leadership roles and are actively involved in carrying the character initiative to the parent-teacher organization and parent community.
- Families report being aware and supportive of the initiative.
- The school offers workshops and resources on character education and general parenting skills.
- Parents are recruited, trained and frequently volunteer and are active contributors to and participants in school and classroom events that go beyond fundraising.
- The school office is welcoming to parents, and staff prioritize inclusive outreach to parents.

10.2 The administration and faculty regularly communicate with parents and guardians, providing suggestions and activities that help them reinforce the core values, and they survey parents, both formally and informally, on the effectiveness of the school's character education efforts.

Key indicators of exemplary implementation:

- The school communicates with families about its character education efforts using a variety of techniques (e.g., report cards, notes, e-mails, phone calls, newsletters, parent-teacher conferences, group meetings, workshops, websites).
- The school can provide evidence of strong two-way communication regarding the character education initiative. Parents do not just receive information from the school, they also provide input, guidance, and evaluation data to the school regarding the effectiveness of the initiative and how it might be improved.
- Strategies are in place to welcome new families to school and orient them to the school's character education mission.

10.3 The school recruits the help of the wider community.

Key indicators of exemplary implementation:

- Community members (e.g., representatives of local government agencies, business partners, religious organizations, youth organizations, other schools) serve in character education leadership roles and are actively involved in carrying the character initiative into the larger community.
- Members of the larger community report being aware and supportive of the initiative, and elements of the initiative may be integrated into community activities (e.g., youth sports programs, after-school activities, youth-serving organizations such as 4-H or scouts, businesses or other organizations that promote core values or help with service projects).
- Community members volunteer in the school and are active contributors to and participants in school and classroom events (e.g., mentoring, tutoring, support of learning experiences).
- (For districts): The district engages a broad spectrum of the community in its character education initiative with particular focus on involvement of appropriate local government agencies, youth-serving organizations, and the business community.

Sourcebook Connections



From the Guide to Principle 10:
Building Home-School Partnerships, page 5
Building Community Partnerships, page 17
Overview of Strategies, page 28

ELEVEN PRINCIPLES IN ACTION

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Involving Parents and Community Members



Wilton Manors Elementary School
Wilton Manors, Florida

In keeping with its International Baccalaureate philosophy that calls for strong parent and community support, Wilton Manors Elementary School relies heavily on parent involvement. Wilton Manors hosts a variety of parent workshops and sends out all communications in three languages: Spanish, Creole, and English. Through a growing number of community partnerships, the school also involves the larger community. In one year, volunteers contributed more than \$30,000 in time and goods.

PRINCIPLE 11 The school regularly assesses its culture and climate, the functioning of its staff as character educators, and the extent to which its students manifest good character.

Effective character education includes ongoing assessment of progress and outcomes using both qualitative and quantitative measures. The school uses a variety of assessment data (e.g., academic test scores, focus groups, survey results) that include the perceptions of students, teachers, and parents. Schools report on this data and use it to determine next steps. Schools administer questionnaires to stakeholders early in their character education initiative and again later to assess progress.

Three outcomes merit attention. First, schools assess the culture and climate of the school in light of the core values by asking stakeholders questions about the extent to which members of the school community demonstrate the core values and thereby function as an ethical learning community. For example, schools might administer climate surveys in which they ask students whether they agree with statements such as, “Students in this school (classroom) respect and care about each other.” Second, the school assesses the staff’s growth as character educators by examining the extent to which they model the core values and integrate these values into their teaching and other interactions with students. Schools ask teachers to reflect upon their character education practices, survey students about their perceptions of their teachers as role models, and have administrative procedures in place to monitor desired teacher behaviors. Third, the school assesses student character by examining the degree to which students manifest understanding of, commitment to, and action upon the core ethical values. Schools can, for example, gather data on various character-related behaviors (e.g., attendance, suspensions, vandalism, service hours, drug incidents, and cheating). Effective schools collect data on desired outcomes in student attitudes and behaviors and report to parents on students’ growth in character just as they report academic progress (e.g., on report cards, during parent/teacher conferences).

11.1 The school sets goals and regularly assesses (both quantitatively and qualitatively) its culture, climate, and functioning as an ethical learning community.

Key indicators of exemplary implementation:

- The school can provide artifacts demonstrating character education assessment results and conclusions drawn from these results (e.g., data on school climate and academic integrity gathered from students, staff, and parents).
- The school uses qualitative and quantitative data in an ongoing manner to make changes and improvements to the character education initiative (e.g., data on academic achievement shows positive results since the implementation and growth of the character education initiative).
- (For districts): The district arranges for and finances assessment of the district’s character education initiative.

11.2 Staff members reflect upon and report on their efforts to implement character education, as well as on their growth as character educators.

Key indicators of exemplary implementation:

- Teachers periodically gather feedback from their students on their perceptions of character-related activities and the extent to which teachers are modeling the core values.

- The school requires all staff to report on their efforts to meet character education goals (e.g., through staff surveys).
- Staff examine and reflect on data through structured and informal opportunities (e.g., focus groups, faculty discussions, and committee meetings).
- The school staff reports to stakeholders on efforts to implement character education.
- (For districts): The district builds implementation of character education into the assessment of school principals and in turn asks principals to evaluate integration of character education into their assessments of school staff.

11.3 The school assesses student progress in developing an understanding of and a commitment to good character and the degree to which students act upon the core values.

Key indicators of exemplary implementation:

- The school uses a variety of approaches (e.g., report cards, student-led parent/teacher conferences, goal-setting rubrics) to assess student progress in the area of character development.
- In questionnaires and reflections on character-related behaviors and core values, students rate the importance of core values in their lives as high (e.g., on a survey question such as “Students in the school (classroom) respect and care about each other,” more than 80 percent of students would agree or highly agree).
- Data collected on student behavior (e.g., attendance, suspensions, vandalism, service hours, drug incidents, and cheating) demonstrate growth in the understanding of and commitment to good character.
- Program development and modifications can be attributed to evaluation.

Sourcebook Connections



From the Guide to Principle 11:
 Step-by-Step Guide to Evaluation, page 21
 Glossary of Terms, page 60
 Evaluation Instruments, page 62
 For information about climate surveys and other assessment tools, visit www.character.org/assessment

ELEVEN PRINCIPLES IN ACTION

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Regular Assessment Ensures Achievement for All



Julian Elementary School
 Julian, California

Julian Elementary consciously works to raise the academic bar for all its students, 57 percent of whom qualify for free and reduced-price lunch. Julian provides a rigorous academic curriculum, backed by research and supported with tutoring opportunities, academic counseling, problem-solving activities, and goal-setting lessons. The school surveys all parents regularly and makes a special effort to reach out to and listen to its minority families. Recently, for example, the school extended its winter vacation to accommodate the request of its Mexican families for time to visit relatives. The results of the school’s focus on character and assessment are clear: achievement and attendance continues to rise for all students while discipline actions continue to decrease.

ABOUT THE ELEVEN PRINCIPLES

Tom Lickona, Ph.D, Eric Schaps, Ph.D, and Catherine Lewis, Ph.D., wrote the *Eleven Principles of Effective Character Education* in 1995. It has since become the cornerstone of CEP’s philosophy on effective character education, well respected in the field, and widely used by practitioners.

To provide an assessment tool based on the 11 principles and a scoring rubric by which to evaluate National Schools of Character (NSOC) award applicants, CEP developed the *Character Education Quality Standards*. CEP revised the Quality Standards document in 2003 and again in 2006, with Kathy Beland writing the 2006 revision after coordinating feedback from the NSOC blue ribbon panel, NSOC site visitors, and other experts in character education. In a 2008 reprinting, CEP added “performance values” language to the document.

In 2009, CEP decided to combine the Eleven Principles and Quality Standards into a single document and update its language to reflect current movements within education and better reflect the best practices being implemented in model schools as revealed in NSOC applications and site visits. Rebecca Sipos and Lara Maupin led a staff committee that included Janice Stoodley, Dr. Merle Schwartz, Barbara Luther, and Michael Shreve. The committee worked in consultation with experts in the field of character education and experienced character education practitioners as it wrote the 2010 revision.

CEP would like to thank the following individuals and members of the CEP Educational Advisory Council for their insights, comments, and edits on this document: Dr. Marvin Berkowitz, Eileen Dachnowicz, Dr. Maurice Elias, Mike Galvin, Amy Johnston, Dr. Tom Lickona, Dr. Larry Nucci, and Dr. David Wangaard.

ABOUT CHARACTER EDUCATION PARTNERSHIP

Character Education Partnership (CEP), founded in 1993, is the nation’s leading advocate for quality character education. CEP’s mission is to lead the nation in helping schools develop people of good character for a just and compassionate society.

Leading a national call to character, CEP works with federal, state, local, and nonprofit leaders to inform discussions and encourage policy related to school culture, whole-child education, school leadership, and character education.

CEP’s Programs

National and State Schools of Character



Every year, CEP names exemplar schools and districts (K–12) as National Schools of Character (NSOC) to serve as models for effective character education. State sponsors conduct State Schools of Character (SSOC) competitions as a preliminary step to advancing to the National Schools of Character awards. CEP also gives Promising Practices awards for unique and specific strategies.

Professional Development

CEP’s professional development focuses on systemic change. CEP offers foundational training through *Eleven Principles Sourcebook* Institutes along with a wide array of skill-based workshops and leadership training.

National Forum on Character Education

Each fall CEP hosts a national conference that features outstanding speakers, dynamic workshops, and networking opportunities.

ELEVEN PRINCIPLES SCORING GUIDE

To complete a self-assessment, assemble a representative group of stakeholders (teachers and other staff, administrators, parents, students, community members). Group members can collaborate to create one group score or complete the assessment independently before coming to consensus on the group score.

Score each item according to the following scale using whole numbers. Calculate the average of the items in each row to get a score for each principle. Find the average of all 11 scores in the final column to obtain an overall score. Note that the key indicators listed under each item represent the expected observable outcomes of exemplary implementation. For a score of “4” on any item, the school or district should be able to demonstrate evidence of the full list of practices. Sometimes it may be necessary to determine whether the school or district is closer to one score than another on an individual item. Always considering quality, quantity, and frequency, the reviewer should look at the item holistically and choose the number closest to the level of implementation.

School/District: _____

Evaluator: _____ Date: _____

4 = Exemplary 3 = Highly Effective 2 = Good 1 = Lacking Evidence

	Item 1	Item 2	Item 3	Item 4	Average
Principle 1					
Principle 2					
Principle 3					
Principle 4					
Principle 5					
Principle 6					
Principle 7					
Principle 8					
Principle 9					
Principle 10					
Principle 11					
TOTAL *					

* Sum of the average score for each principle divided by 11.

Strengths:

Areas for growth:

ELEVEN PRINCIPLES OF CHARACTER EDUCATION

Effective character education:

- PRINCIPLE 1** Promotes core values.
 - PRINCIPLE 2** Defines “character” to include thinking, feeling, and doing.
 - PRINCIPLE 3** Uses a comprehensive approach.
 - PRINCIPLE 4** Creates a caring community.
 - PRINCIPLE 5** Provides students with opportunities for moral action.
 - PRINCIPLE 6** Offers a meaningful and challenging academic curriculum.
 - PRINCIPLE 7** Fosters students’ self-motivation.
 - PRINCIPLE 8** Engages staff as a learning community.
 - PRINCIPLE 9** Fosters shared leadership.
 - PRINCIPLE 10** Engages families and community members as partners.
 - PRINCIPLE 11** Assesses the culture and climate of the school.
-



Leading a National Call to Character

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